

# Hierarchy of Tai mapping for rooted labeled trees

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# Contents

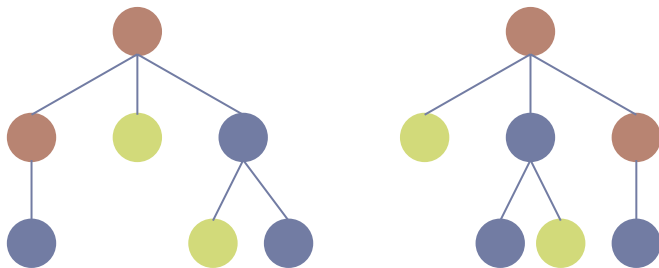
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- ▶ Tree edit distance
- ▶ Tai mapping
- ▶ Variations of Tai mapping
- ▶ Hierarchy of Tai mapping

# Ordered trees vs. unordered trees

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- ▶ **Rooted labeled trees**
  - ▶ Rooted tree: a tree with root
  - ▶ Labeled tree: each node is assigned to a label
- ▶ **Ordered trees**
  - ▶ Left-to-right order among siblings is given
- ▶ **Unordered trees**
  - ▶ Left-to-right order among siblings is not given



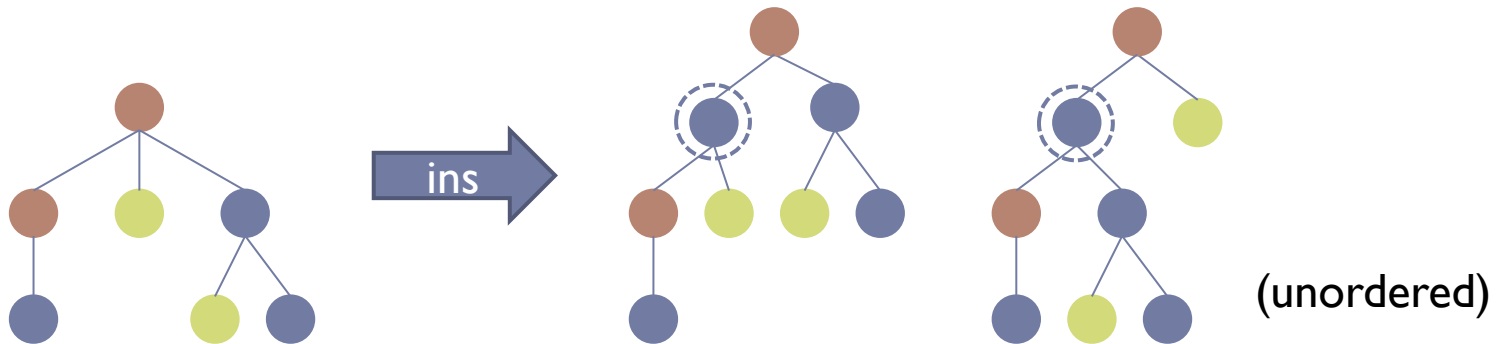
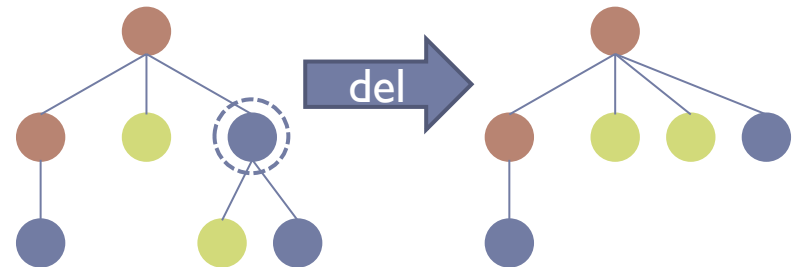
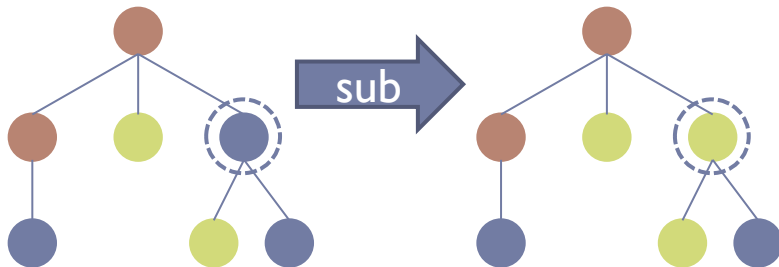
Non-isomorphic for ordered trees  
Isomorphic for unordered trees



# Tree edit distance [Tai 79 (J. ACM 26)]

## ▶ Edit operation

- ▶ Substitution (relabeling) (sub)
- ▶ Deletion (del)
- ▶ Insertion (ins)



# Tree edit distance

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- ▶ **Edit operation**

- ▶ Substitution (relabeling) (sub)
- ▶ Deletion (del)
- ▶ Insertion (ins)
- ▶ Each edit operation is assigned to a cost

- ▶ **Cost**

- ▶ Unit cost:  $\text{sub}=\text{del}=\text{ins}=1$
- ▶ Indel cost:  $\text{sub}=2, \text{del}=\text{ins}=1$

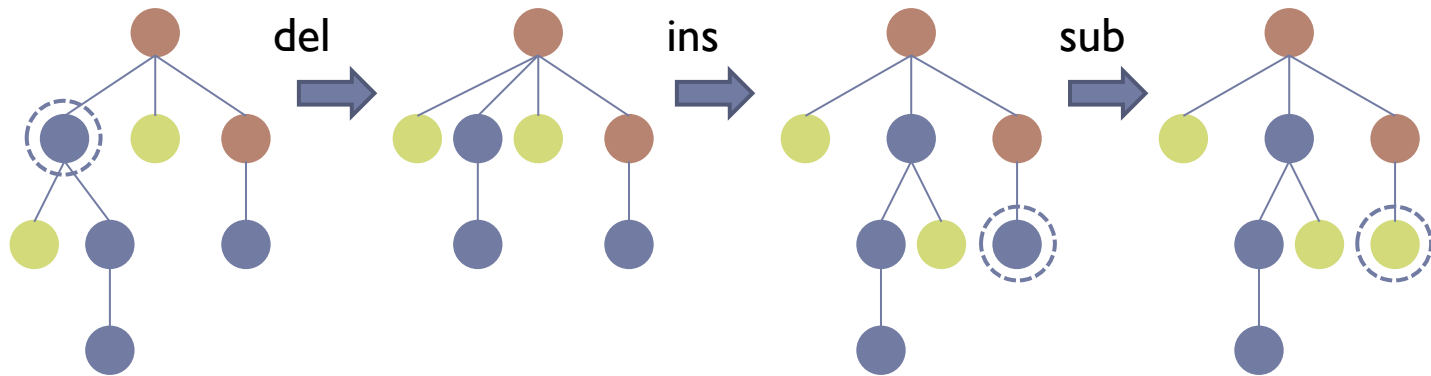
- ▶ **Tree edit distance**

- ▶ The minimum cost to transform from a tree to another tree by applying edit operations

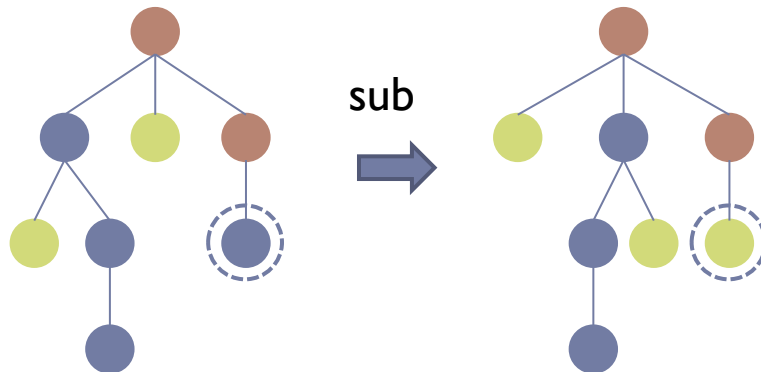
# Tree edit distance

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- ▶ Unit cost
- ▶ Ordered tree edit distance = 3



- ▶ Unordered tree edit distance = 1



# Time complexity

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## ▶ Ordered tree edit distance

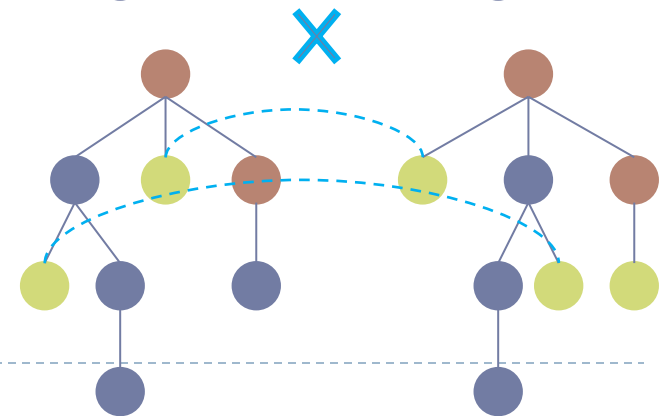
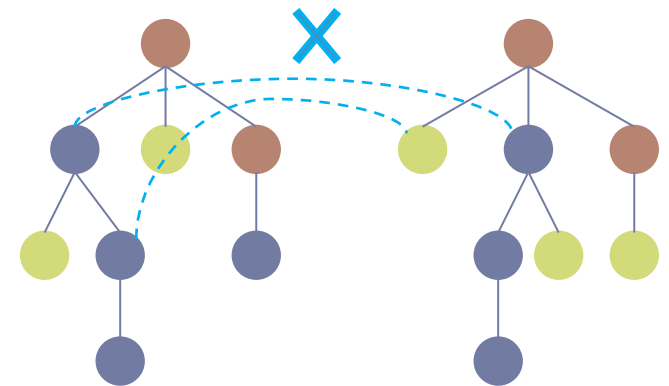
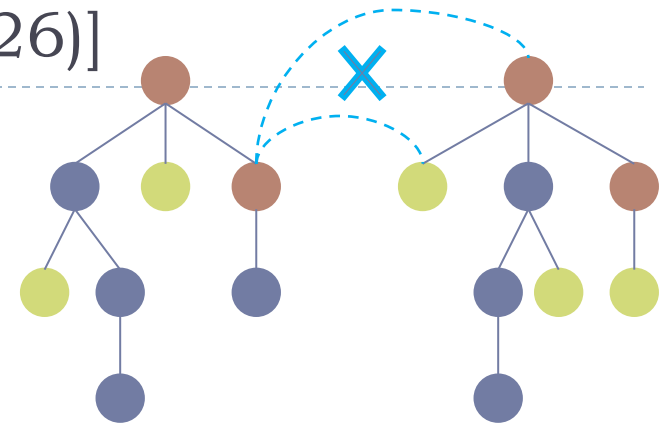
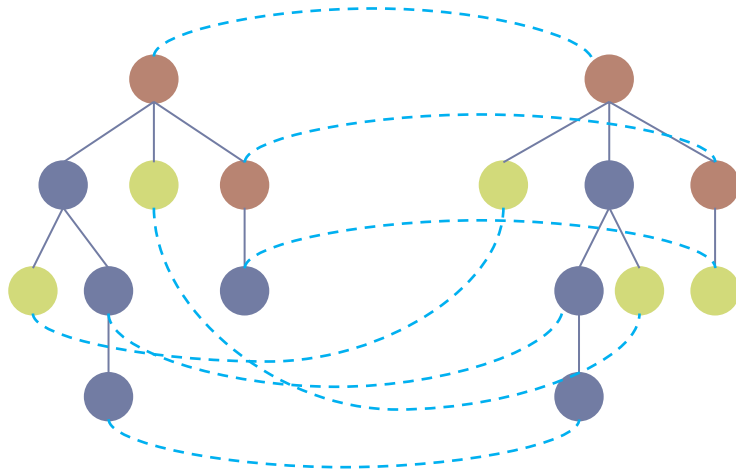
- ▶  $O(n^6)$  [Tai 79 (J.ACM 26)]
- ▶  $O(n^4)$  [Zhang & Shasha 89 (SIAM J. Comput. 18)]
- ▶  $O(n^3 \log n)$  [Klien 98 (Proc. ESA'98)]
- ▶  $O(n^3)$  [Demaine et al. 09 (ACM Trans. Algorithms 6)]
- ▶  $n$  : maximum number of nodes in given two trees

## ▶ Unordered tree edit distance

- ▶ NP-hard [Zhang et al. 92 (Inform. Process. Let. 42)]
  - ▶ Unit cost, degree 2 or height 2
- ▶ MAX SNP-hard [Zhang & Jiang 94 (Inform. Process. Let. 49)]
  - ▶ Indel cost, height 7
  - ▶ Unit cost, degree 2 or height 3 [CPM 12]

# Tai mapping [Tai 79 (J. ACM 26)]

- ▶  $M \subseteq T_1 \times T_2$  : Tai mapping
  - ▶ One-to-one
  - ▶ Ancestor condition
  - ▶ Sibling condition (ordered trees)

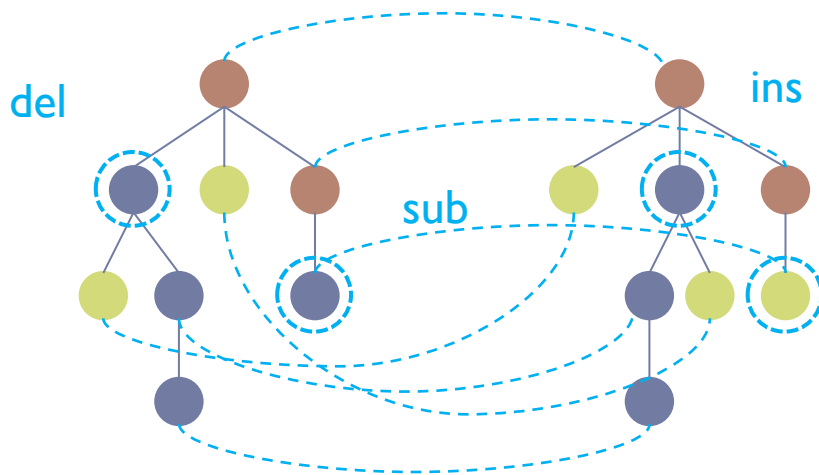




# Tai mapping

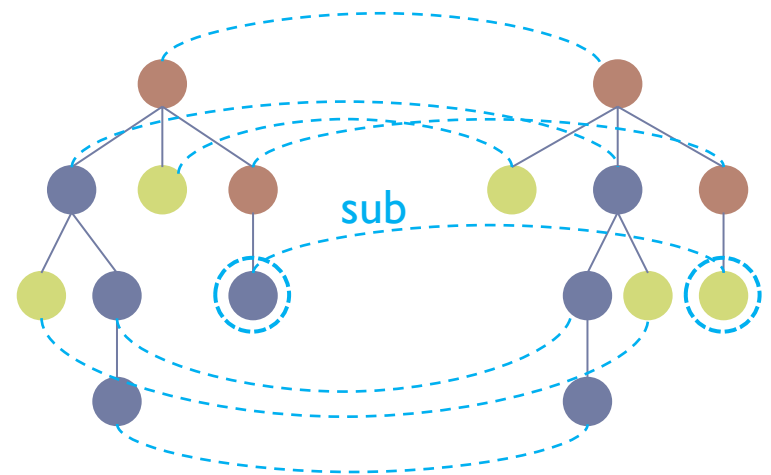
- ▶ The minimum cost of possible Tai mappings  
= tree edit distance

Minimum cost Tai mapping (ordered)



Cost of the Tai mapping  
= 3  
= ordered tree edit distance

Minimum cost Tai mapping (Unordered)



Cost of the Tai mapping  
= 1  
= unordered tree edit distance

# Variation of Tai mapping

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▶  $M \subseteq T_1 \times T_2$  : Tai mapping,  $M^- = M - \{r(T_1), r(T_2)\}$

▶ Top-down (degree-1) mapping

[Selkow 77 (IPL 6), Chawathe 99 (VLDB)]

▶  $\forall (v, w) \in M^- \quad (p(v), p(w)) \in M$

$r(T)$  : root of  $T$

$p(v)$  : parent of  $v$

$v_1 \sqcup v_2$  : LCA of  $v_1$  and  $v_2$

▶ LCA-preserving (degree-2) mapping [Zhang 95 (IJFCS 7)]

▶  $\forall (v_1, w_1), (v_2, w_2) \in M \quad (v_1 \sqcup v_2, w_1 \sqcup w_2) \in M$

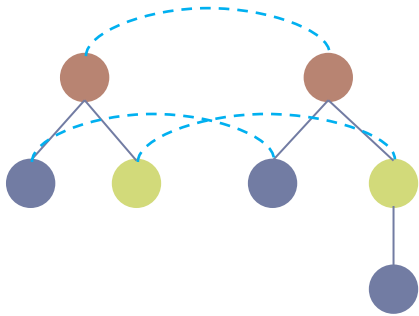
▶ Accordant (Lu's) mapping [Lu 79 (IEEE PAMI 1), Kuboyama 07]

▶  $\forall (v_1, w_1), (v_2, w_2), (v_3, w_3) \in M$

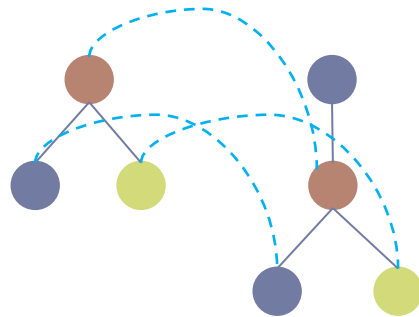
$$v_1 \sqcup v_2 = v_1 \sqcup v_3 \Leftrightarrow w_1 \sqcup w_2 = w_1 \sqcup w_3$$

# Variation of Tai mapping

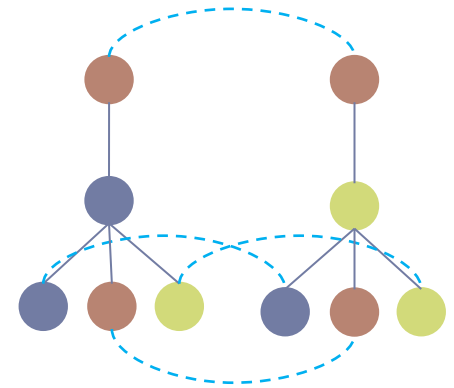
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Top-down



LCA-preserving  
Not top-down



Accordant  
Not LCA-preserving

$M : \text{top-down mapping} \Rightarrow M : \text{LCA-preserving mapping} \Rightarrow M : \text{accordant mapping}$

# Variation of Tai mapping

- ▶ **Constrained (isolated-subtree) mapping**

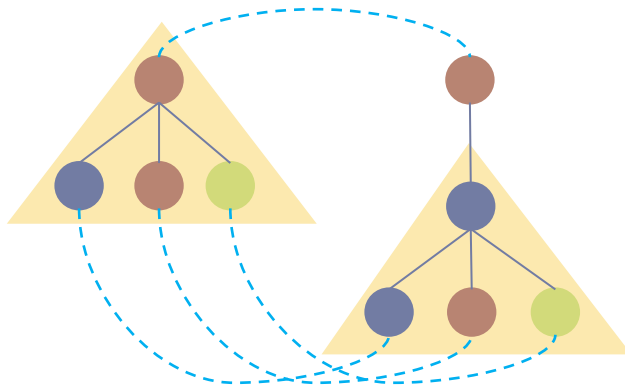
[Zhang 95 (Pattern Recog. 28), Zhang 96 (Algorithmica 15)]

- ▶  $\forall (v_1, w_1), (v_2, w_2), (v_3, w_3) \in M$

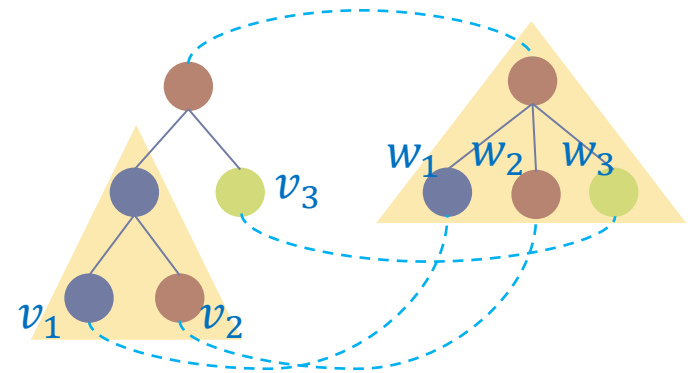
$$v_3 < v_1 \sqcup v_2 \Leftrightarrow w_3 < w_1 \sqcup w_2$$

$v_1 \sqcup v_2$  : LCA of  $v_1$  and  $v_2$

- ▶ A pair in a constrained mapping is “in a pair of subtrees”



Constrained  
Not accordant



Not constrained

# Variation of Tai mapping

- ▶ **Less-constrained (alignable) mapping**

[Lu 01 (COCOON), Kuboyama 07]

- ▶  $\forall (v_1, w_1), (v_2, w_2), (v_3, w_3) \in M$

$r(T)$  : root of  $T$

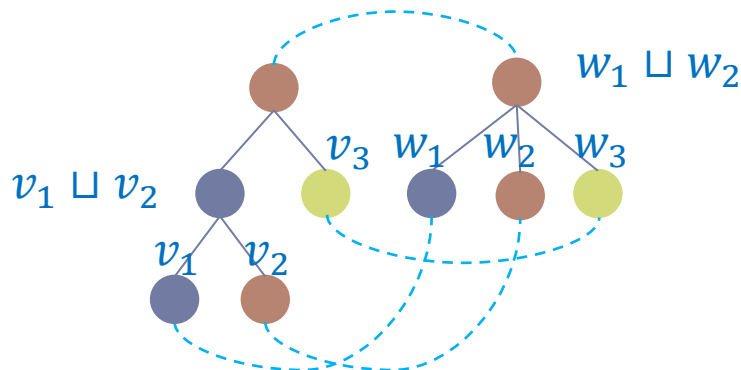
$v_1 \sqcup v_2$  : LCA of  $v_1$  and  $v_2$

$$v_1 \sqcup v_2 < v_1 \sqcup v_3 \Rightarrow w_2 \sqcup w_3 = w_1 \sqcup w_3$$

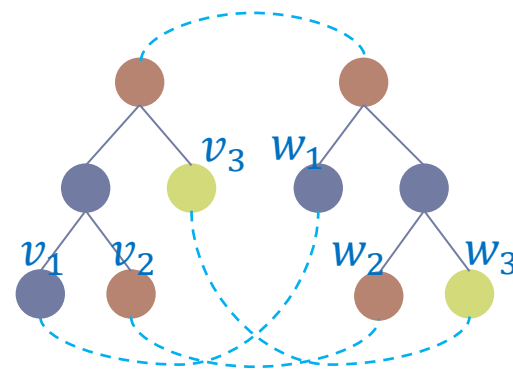
- ▶ A less-constrained mapping contains no “twist”

- ▶ A less-constrained mapping

= a mapping for alignment (alignable mapping) [Kuboyama 07]

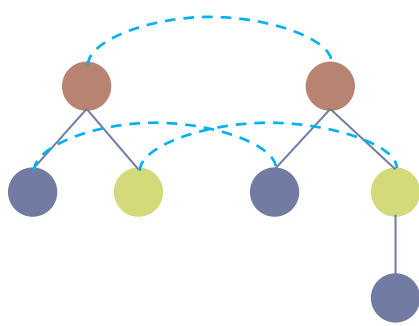


Less-constrained  
Not constrained

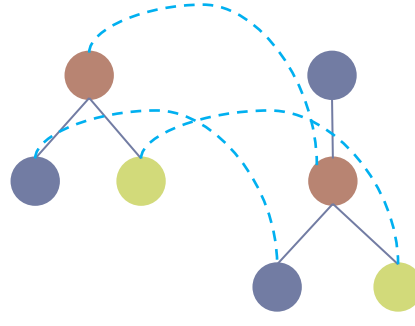


Not less-constrained

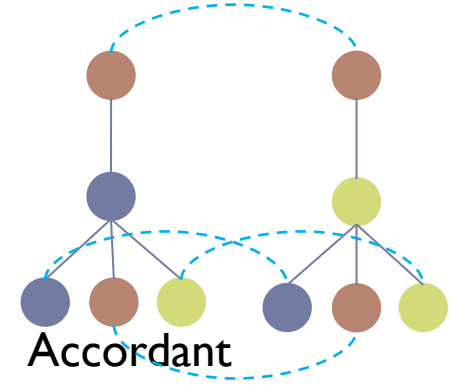
# Variation of Tai mapping



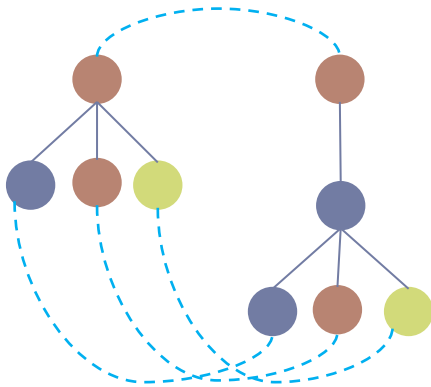
Top-down



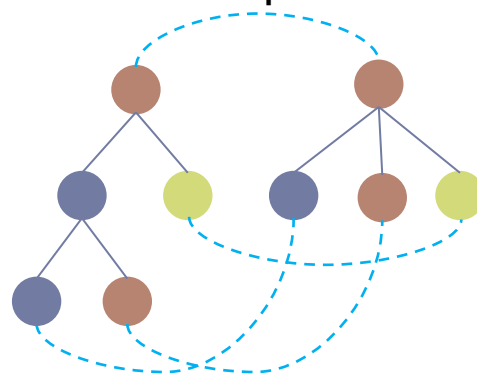
LCA-preserving  
Not top-down



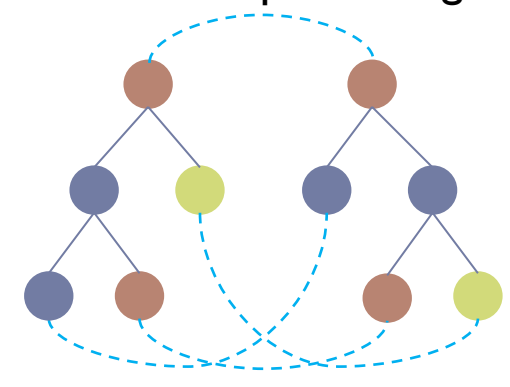
Accordant  
Not LCA-preserving



Constrained  
Not accordant



Less-constrained  
Not constrained



Tai  
Not less-constrained

$M$  : top-down mapping  $\Rightarrow$   $M$  : LCA-preserving mapping  $\Rightarrow$   $M$  : accordant mapping  
 $\Rightarrow$   $M$  : constrained mapping  $\Rightarrow$   $M$  : less-constrained mapping  $\Rightarrow$   $M$  : Tai mapping

# Variation of Tai mapping

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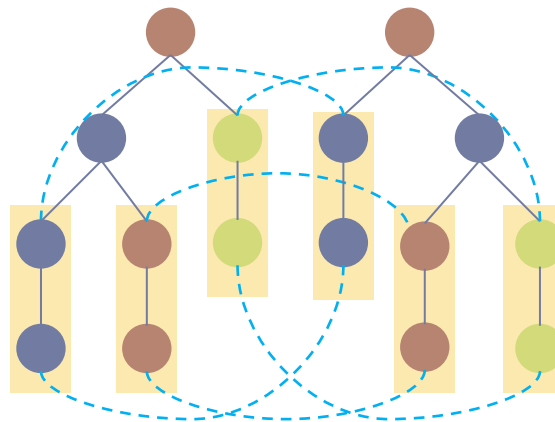
▶ **Bottom-up mapping** [Valiente 01 (SPIRE)]

▶  $\forall (v, w) \in M$

$T[v]$  : complete subtree of  $T$  rooted by  $v$

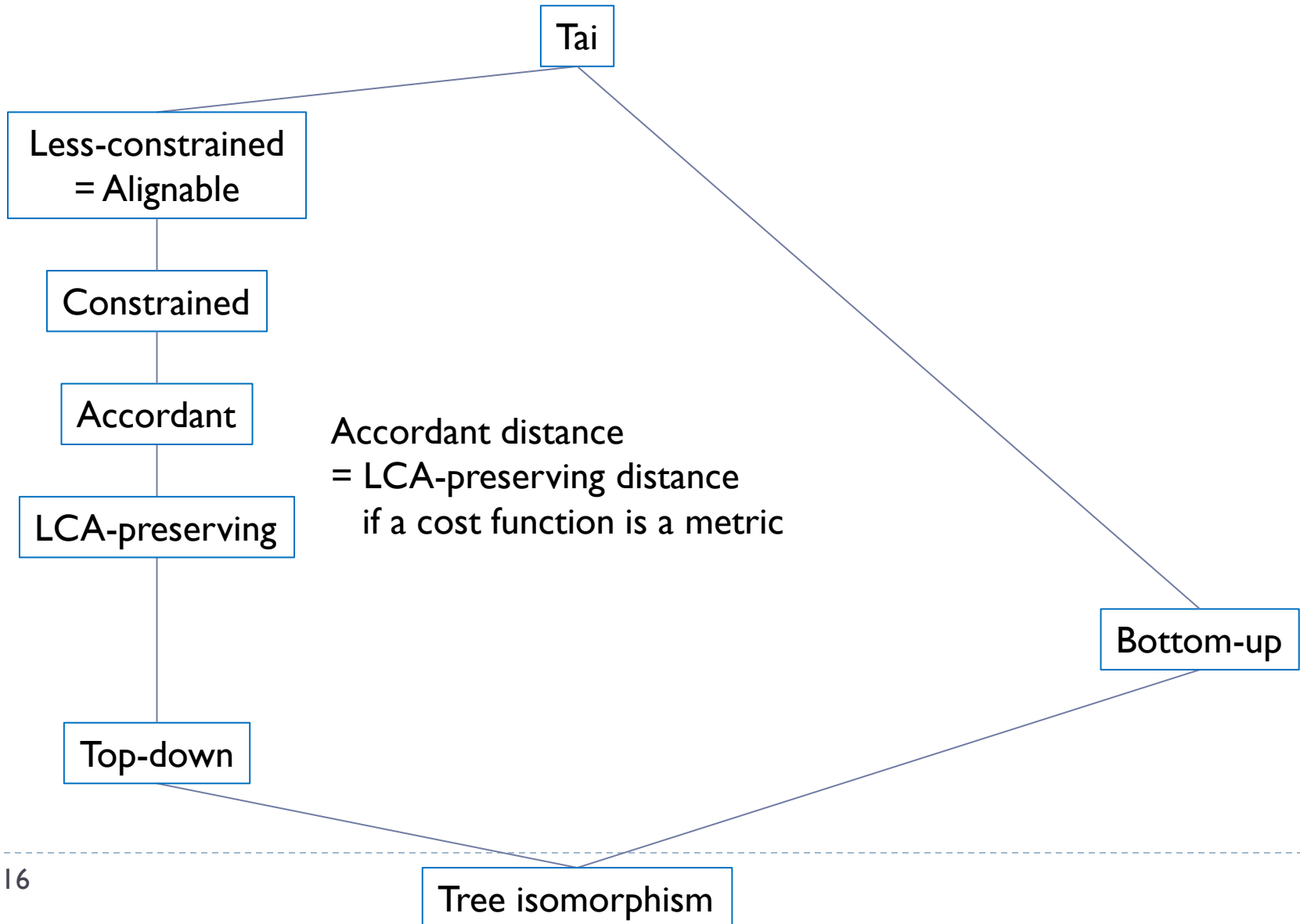
$\forall v' \in T_1[v] \exists w' \in T_2[w] (v', w') \in M$

$\wedge \forall w' \in T_2[w] \exists v' \in T_1[v] (v', w') \in M$



Bottom-up  
Not less-constrained

# Hierarchy of Tai mapping [cf. Kuboyama 07]





# Variation of Tai mapping

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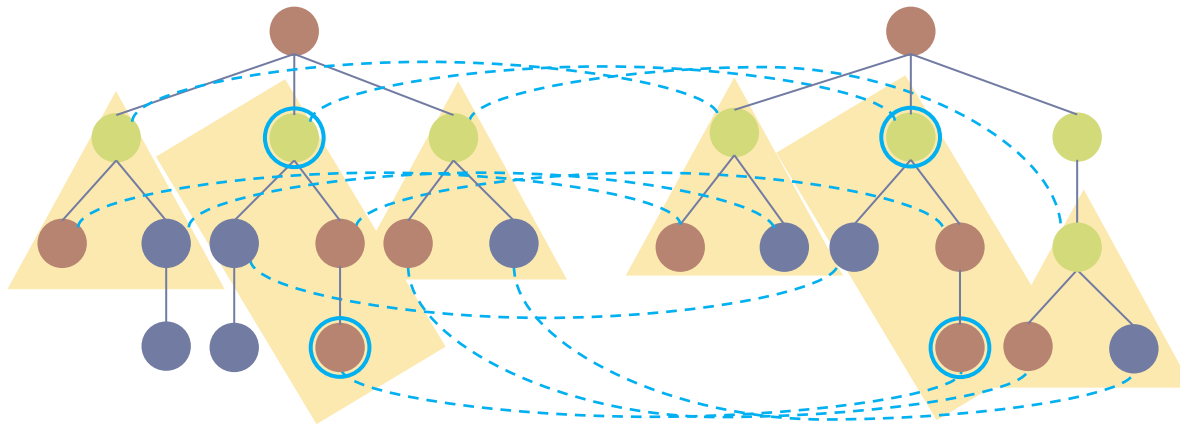
- ▶ Segmental mapping [Kan 12 (ISAAC)]

$anc(v)$  : ancestors of  $v$

- ▶  $\forall (v, w) \in M^-$

- $(v', w') \in M \wedge (v' \in anc(v)) \wedge (w' \in anc(w))$

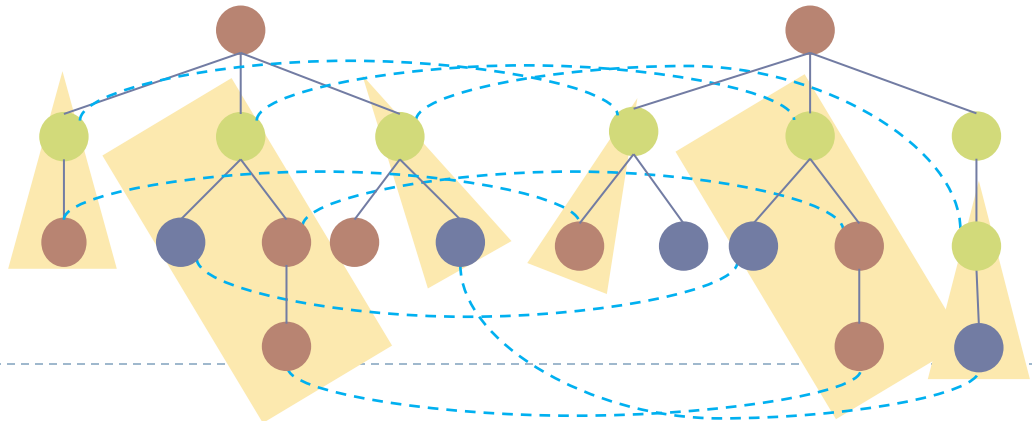
- $\Rightarrow (p(v), p(w)) \in M$



# Variation of Tai mapping

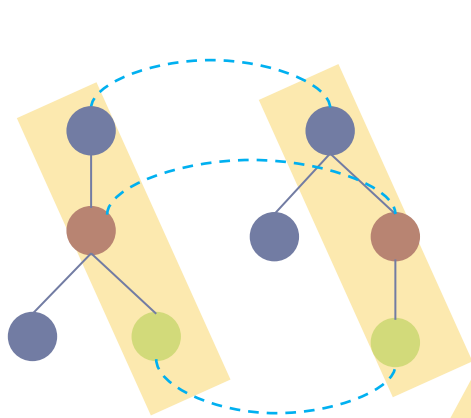
- ▶ **Top-down segmental mapping** [Kan 12 (ISAAC)]
  - ▶ Segmental and  $(r(T_1), r(T_2)) \in M$
- ▶ **Bottom-up segmental mapping** [Kan 12 (ISAAC)]
  - ▶  $\forall (v, w) \in M$ 
    - $\exists (v', w') \in M (v \in anc(v') \wedge w \in anc(w')$   
 $\wedge v' \in lv(T_1) \wedge w' \in lv(T_2))$
    - $\forall (v \in lv(T_1) \wedge w \in lv(T_2))$

$lv(T)$  : set of leaves in  $T$   
 $anc(v)$  : ancestors of  $v$

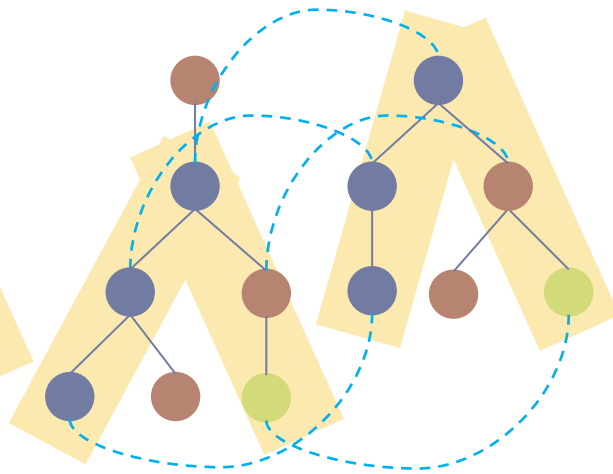


# Variation of Tai mapping

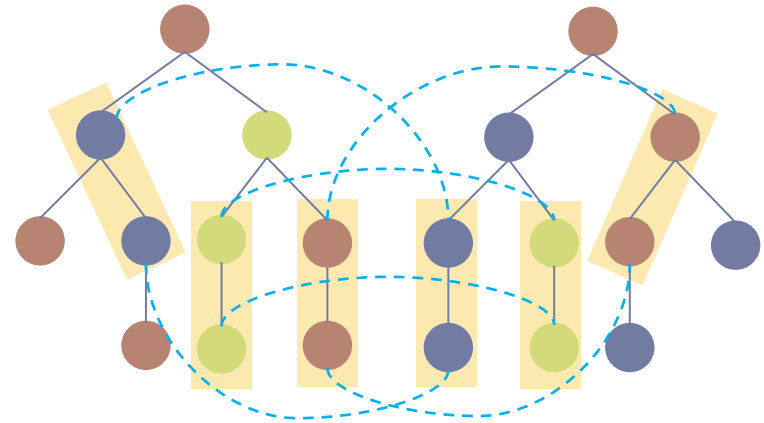
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Bottom-up segmental  
Top-down segmental  
Not bottom-up

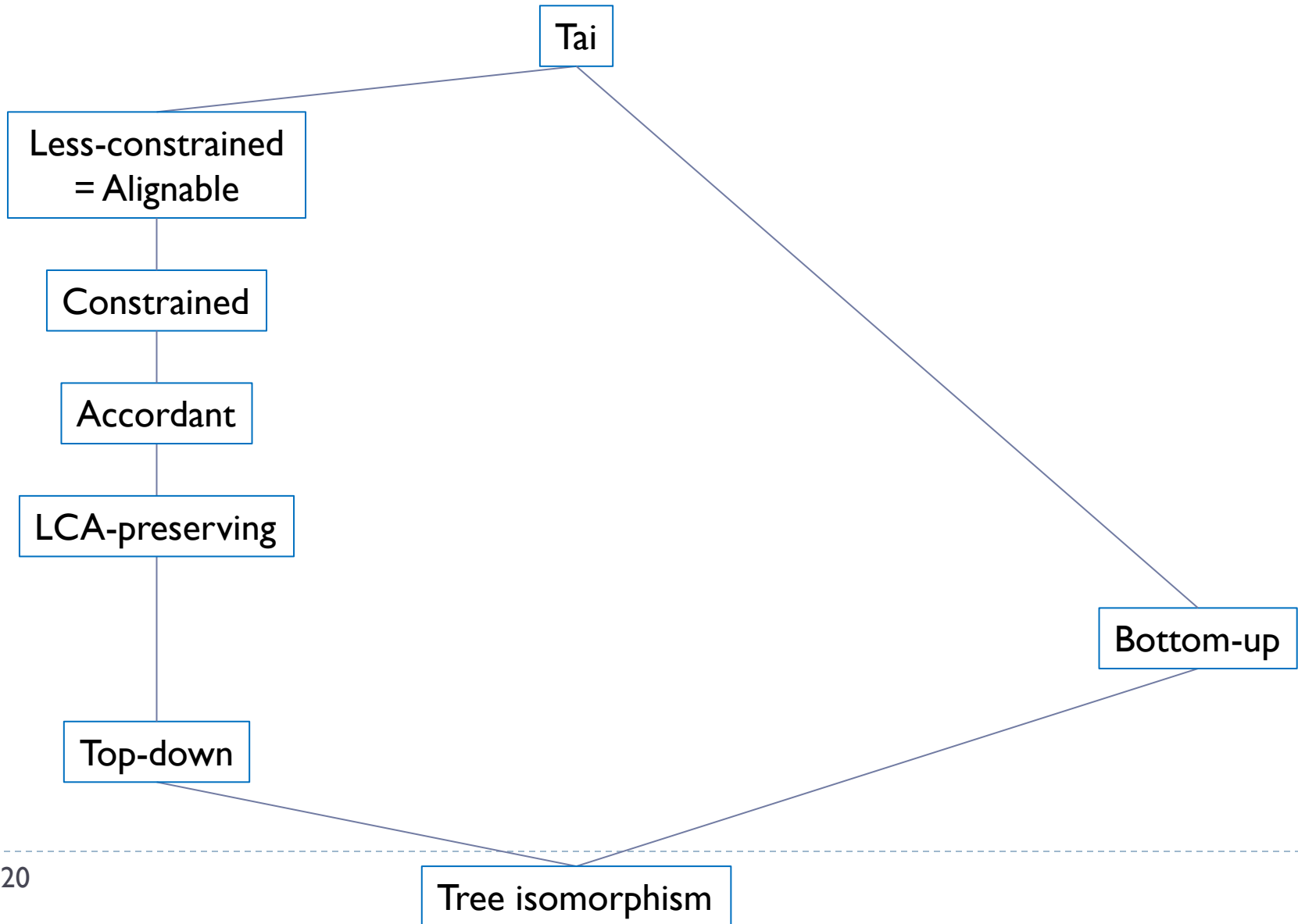


Bottom-up segmental  
Not top-down segmental  
Not bottom-up



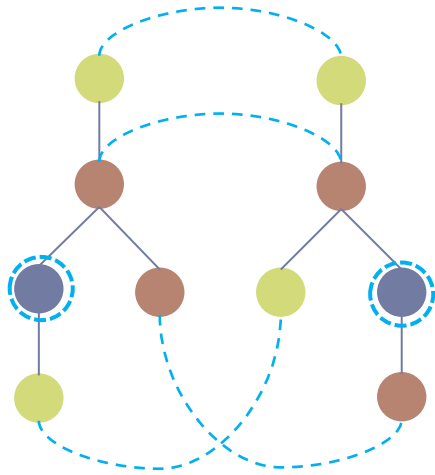
Segmental  
Not bottom-up segmental  
Not top-down segmental

# Hierarchy of Tai mapping [cf. Kuboyama 07]

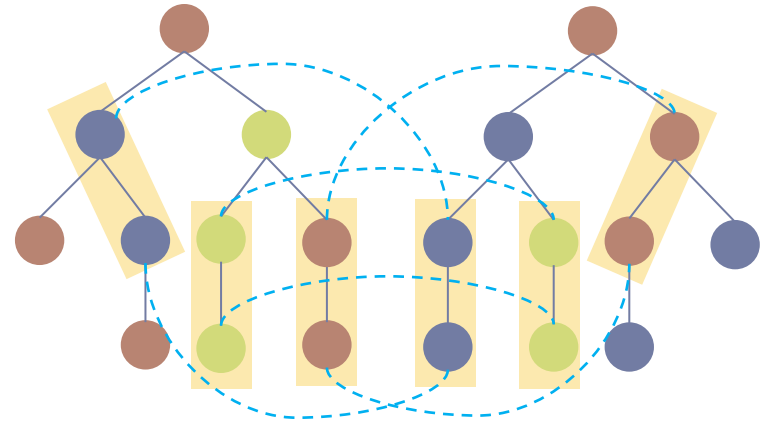


# Hierarchy of Tai mapping

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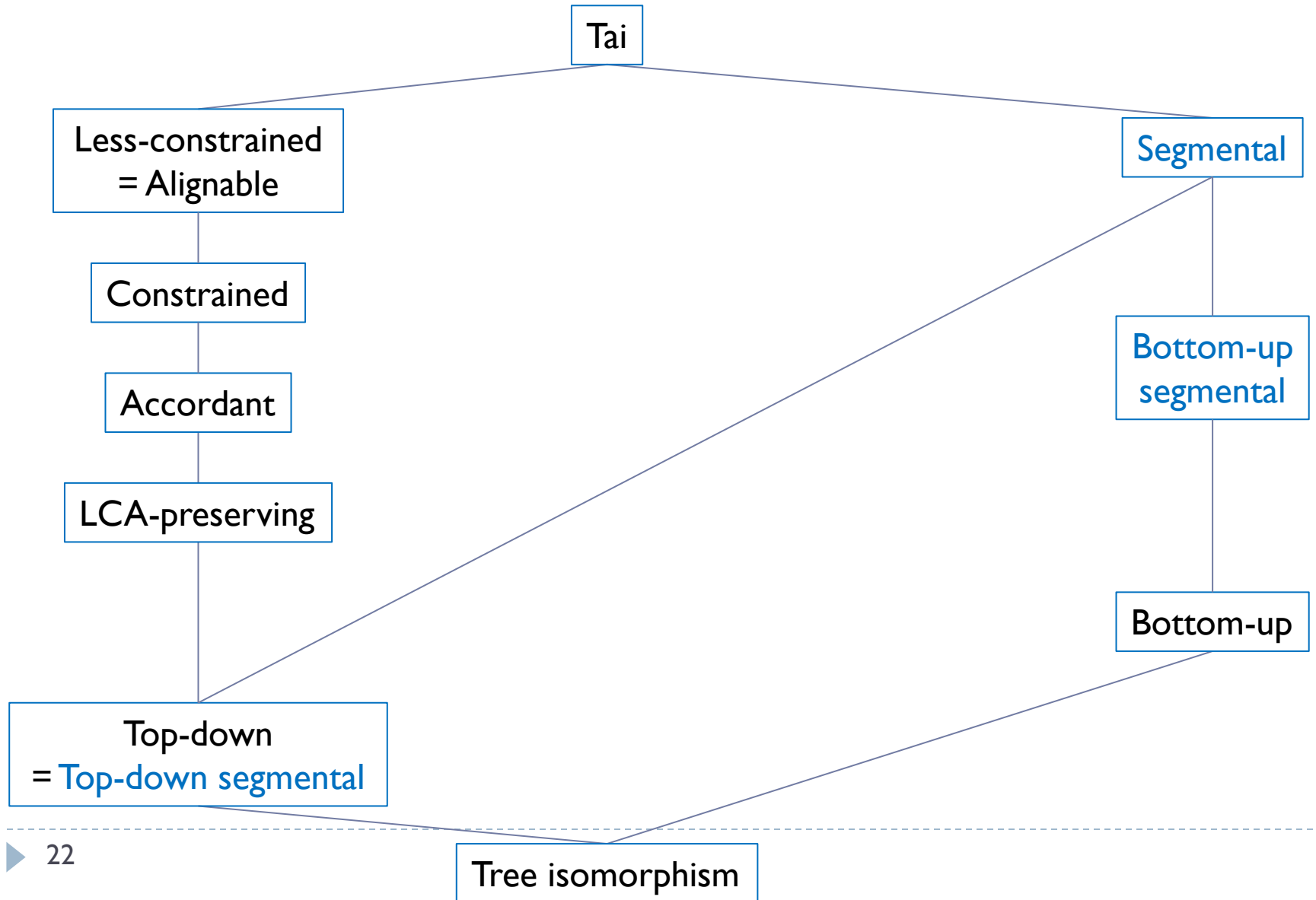


LCA-preserving  
Not segmental  
Not bottom-up segmental  
Not bottom-up

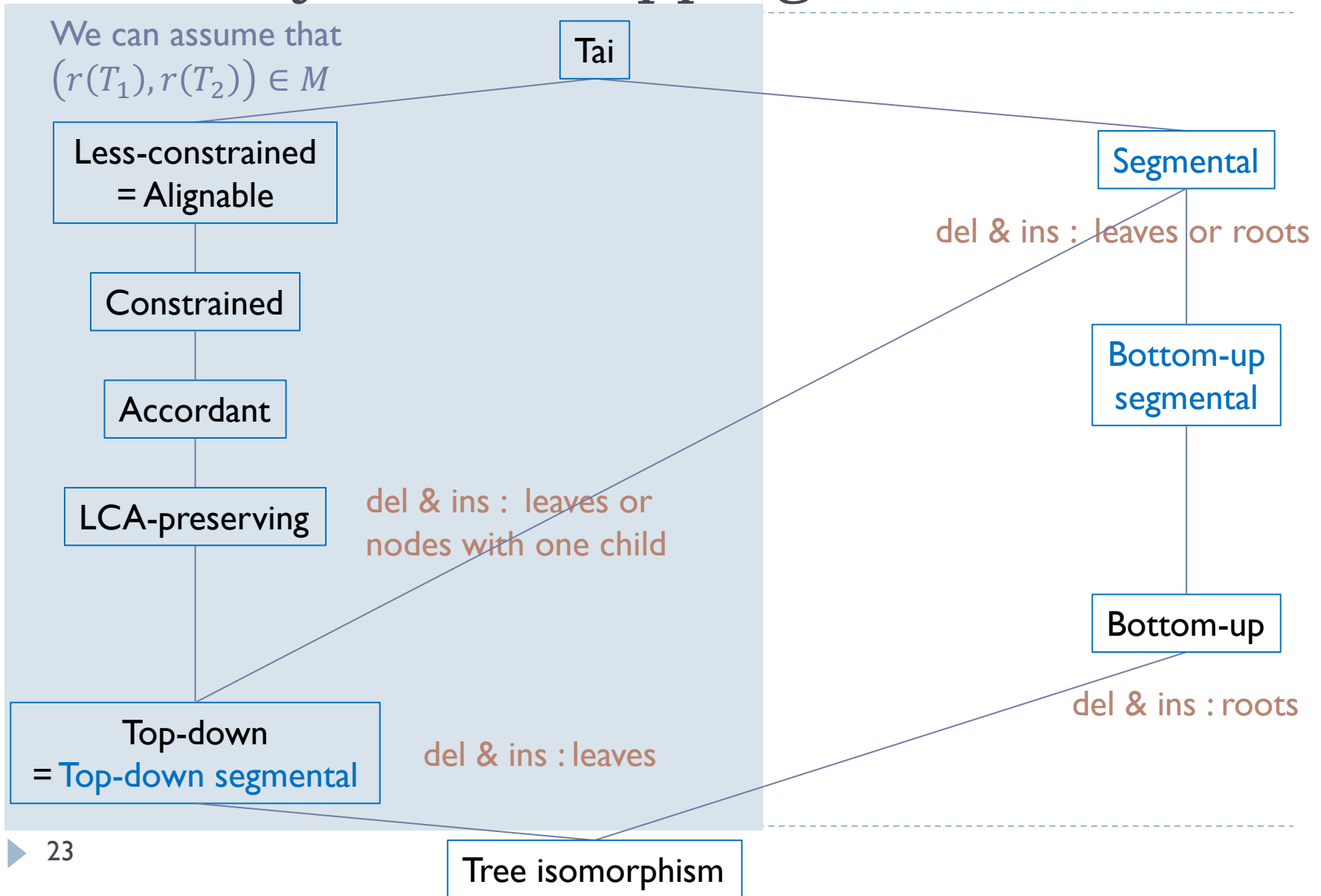


Segmental  
Not LCA-preserving  
Not accordant  
Not constrained  
Not less-constrained

# Hierarchy of Tai mapping [Kan 12 ISAAC]



# Hierarchy of Tai mapping [Kan 12 ISAAC]



# Hierarchy of Tai mapping

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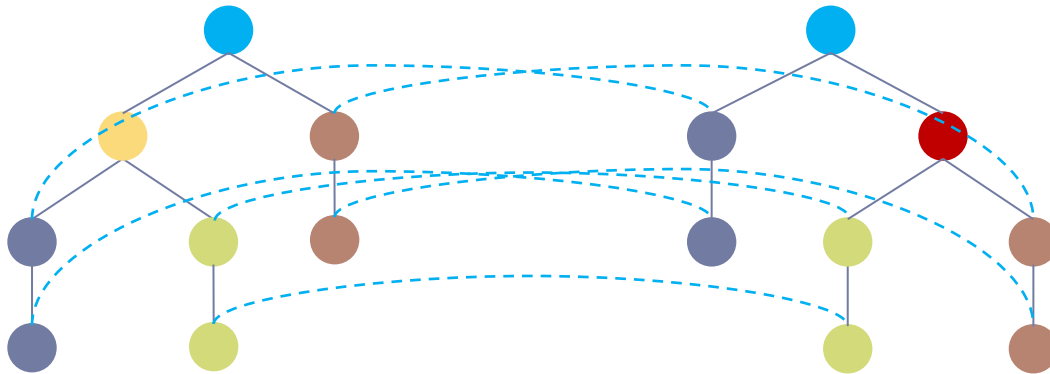
- ▶ Intersection to alignable mapping
- ▶ Segmental alignable mapping
- ▶ Bottom-up segmental alignable mapping
- ▶ Bottom-up alignable mapping



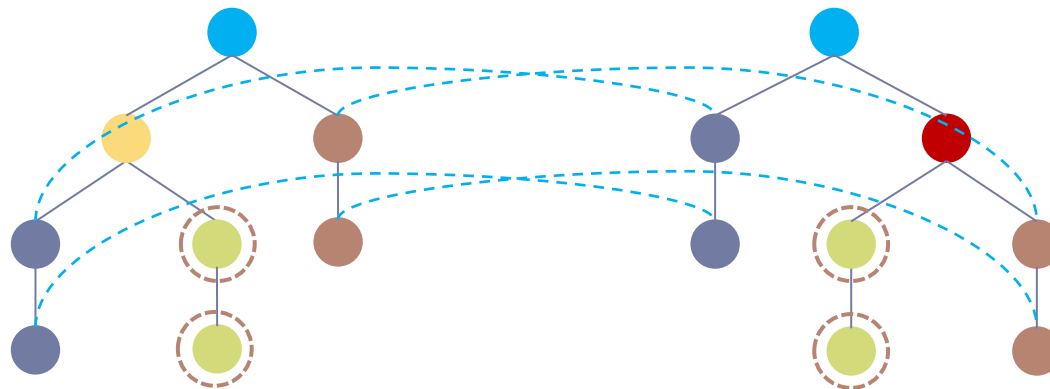
# Hierarchy of Tai mapping

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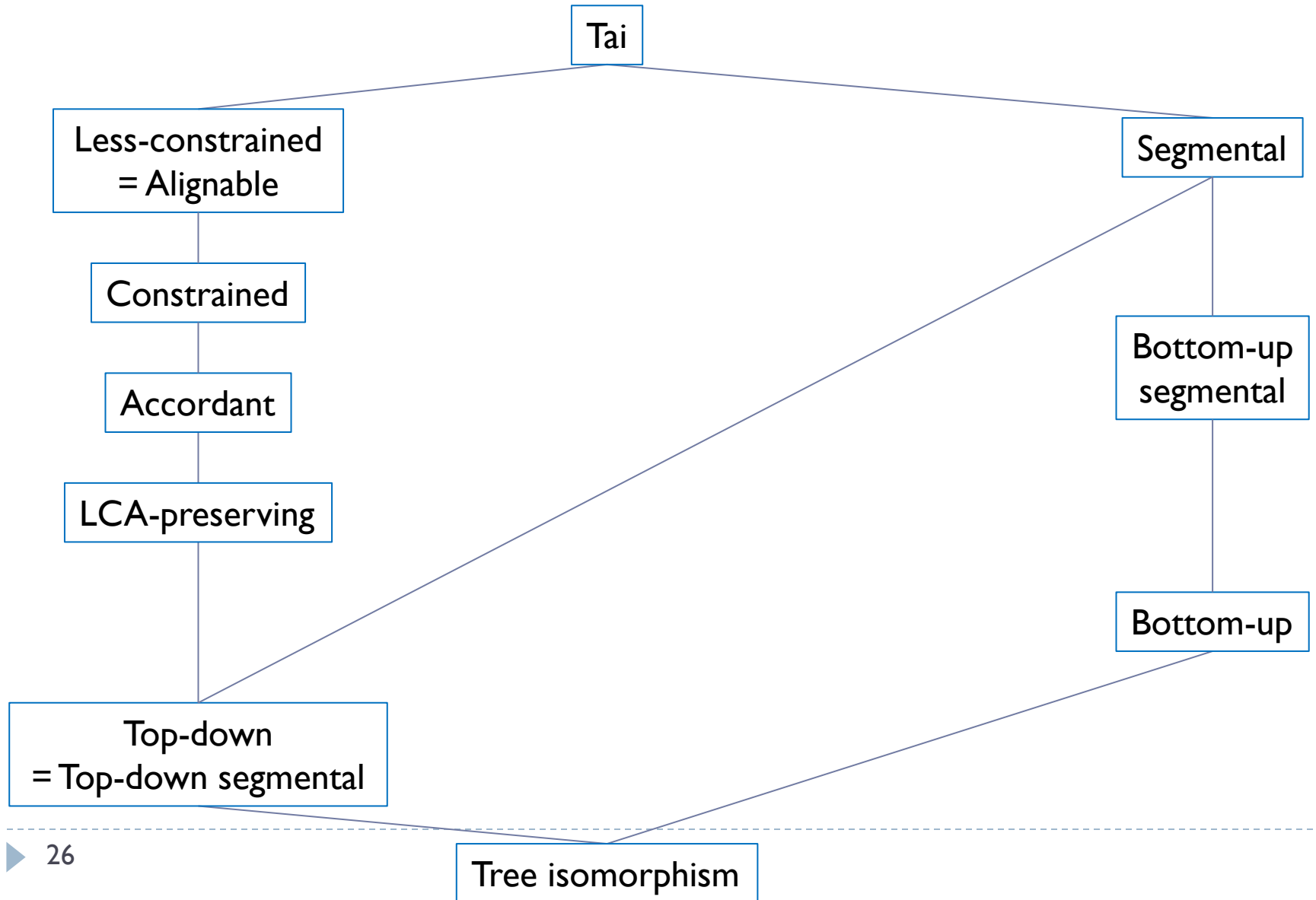
bottom-up mapping



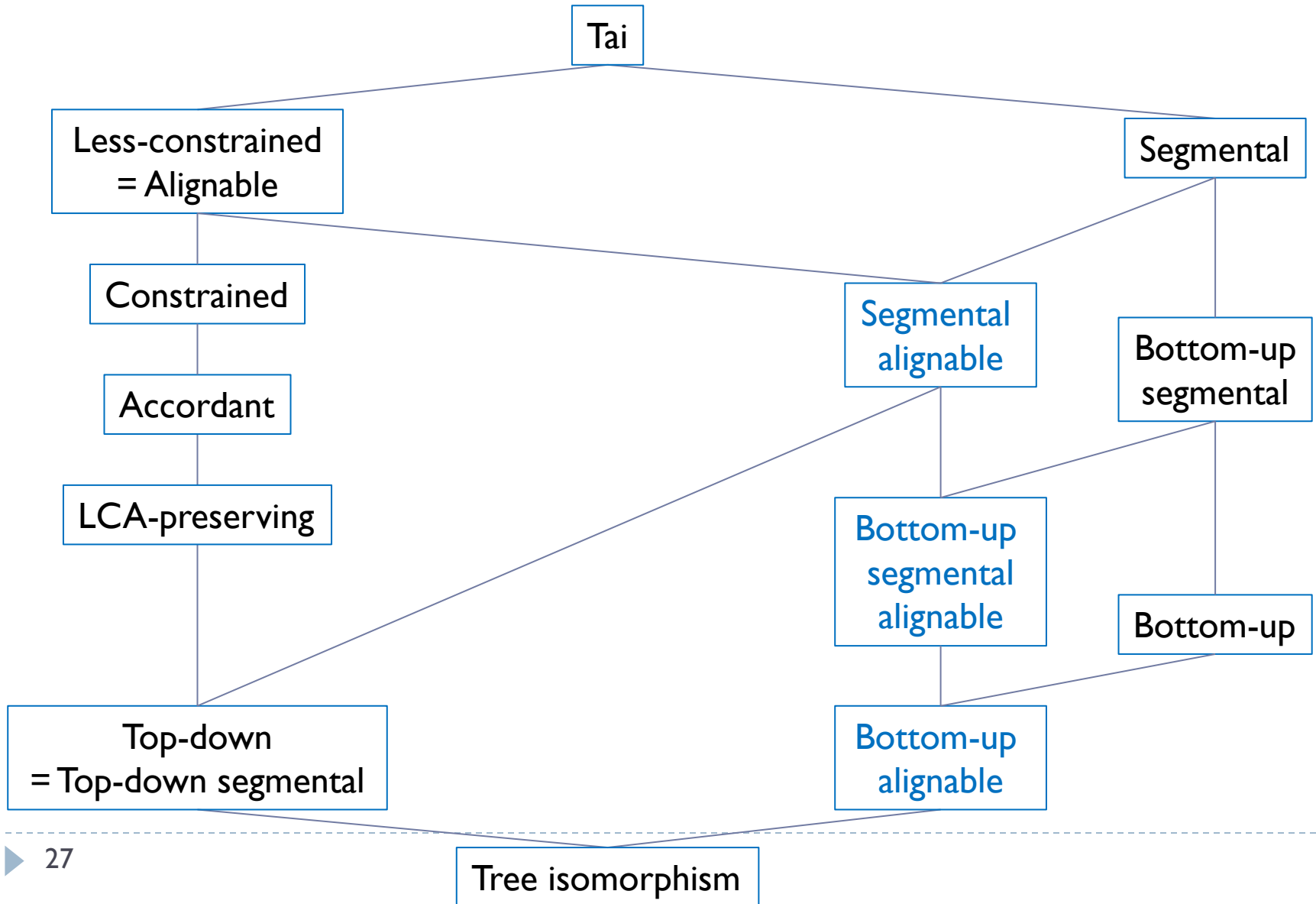
bottom-up alignable mapping



# Hierarchy of Tai mapping [Kan 12 ISAAC]



# Hierarchy of Tai mapping [Hirata 13 SIGFPAI88]

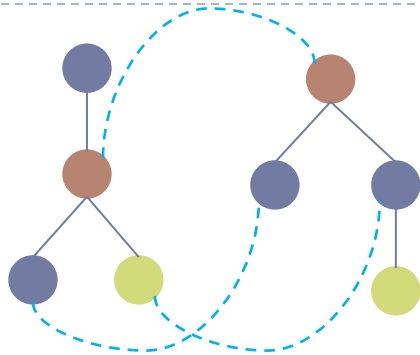


# Hierarchy of Tai mapping

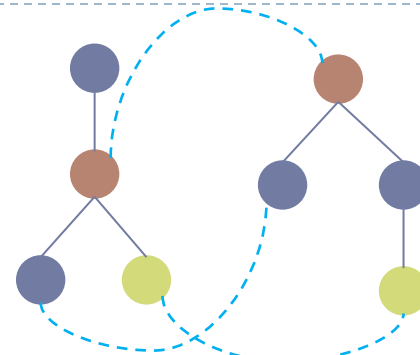
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- ▶ Intersection to segmental mapping
- ▶ **Constrained** segmental mapping
- ▶ **Accordant** segmental mapping
- ▶ **LCA-preserving** segmental mapping

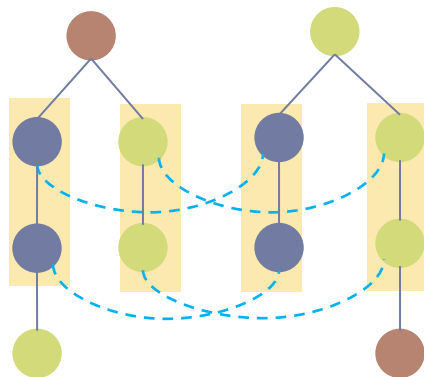
# Hierarchy of Tai mapping



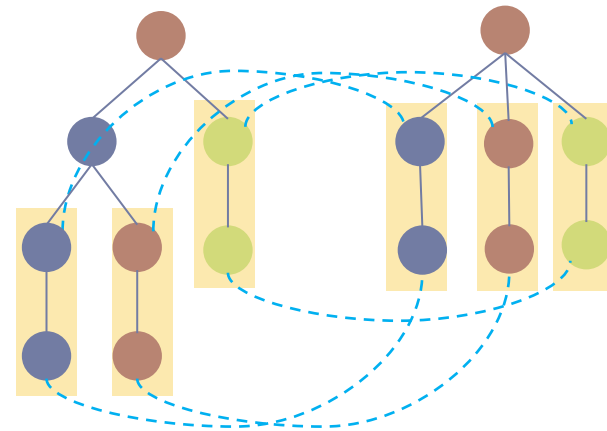
LCA-preserving  
LCA-preserving segmental  
Not top-down



LCA-preserving  
Not LCA-preserving segmental  
Not top-down

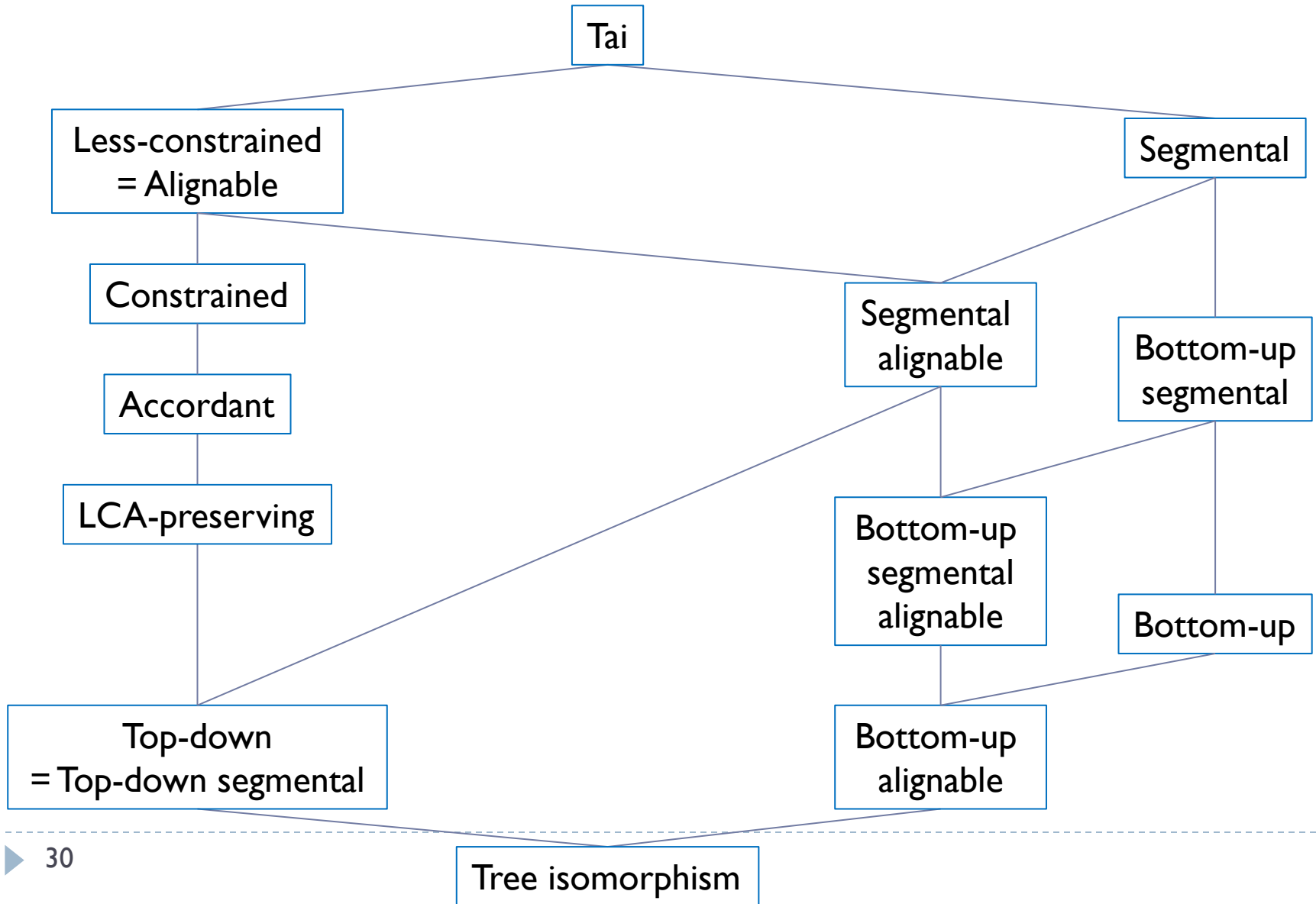


Constrained segmental  
Accordant segmental  
Not LCA-preserving segmental

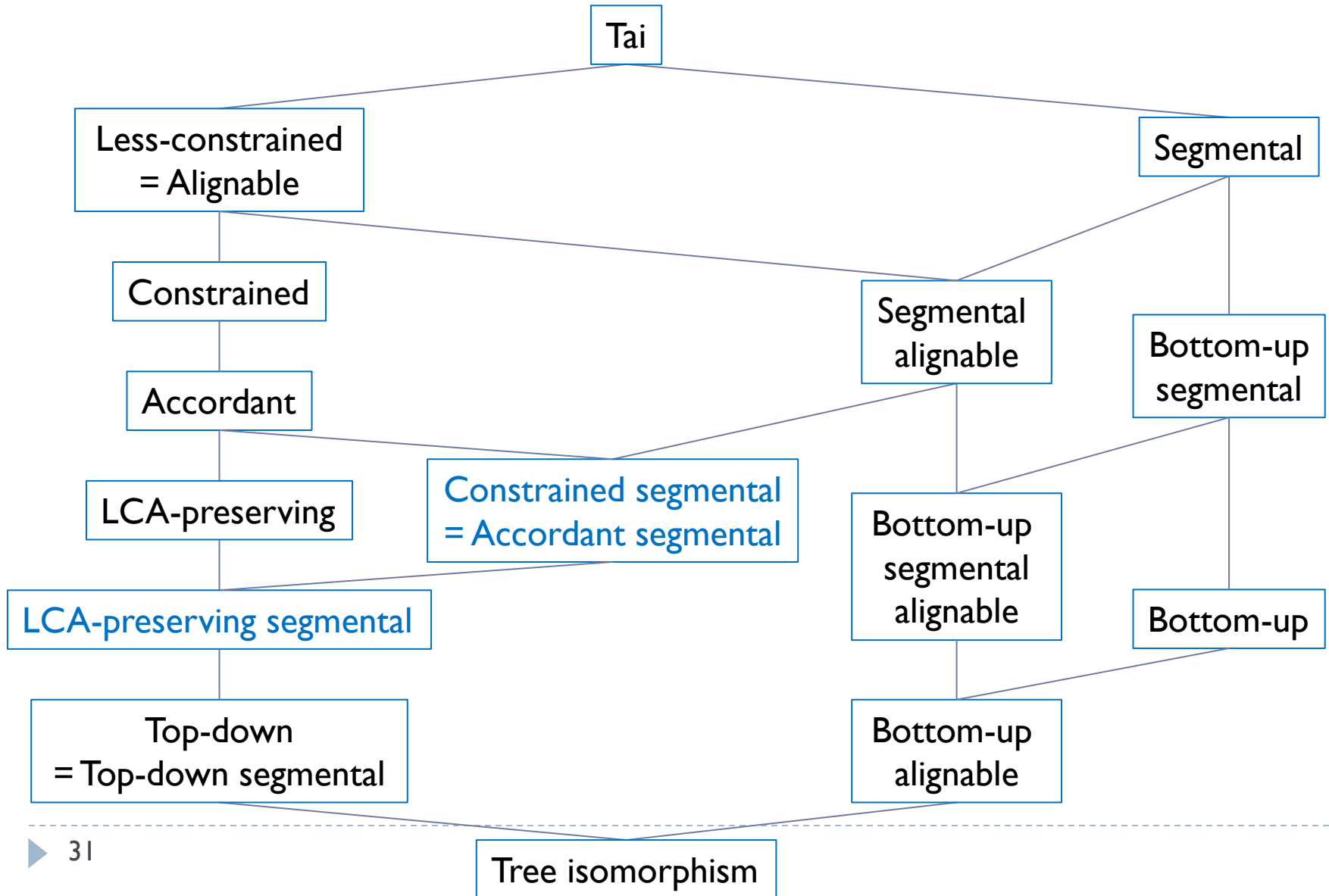


Segmental alignable  
Not constrained segmental  
Not accordant segmental

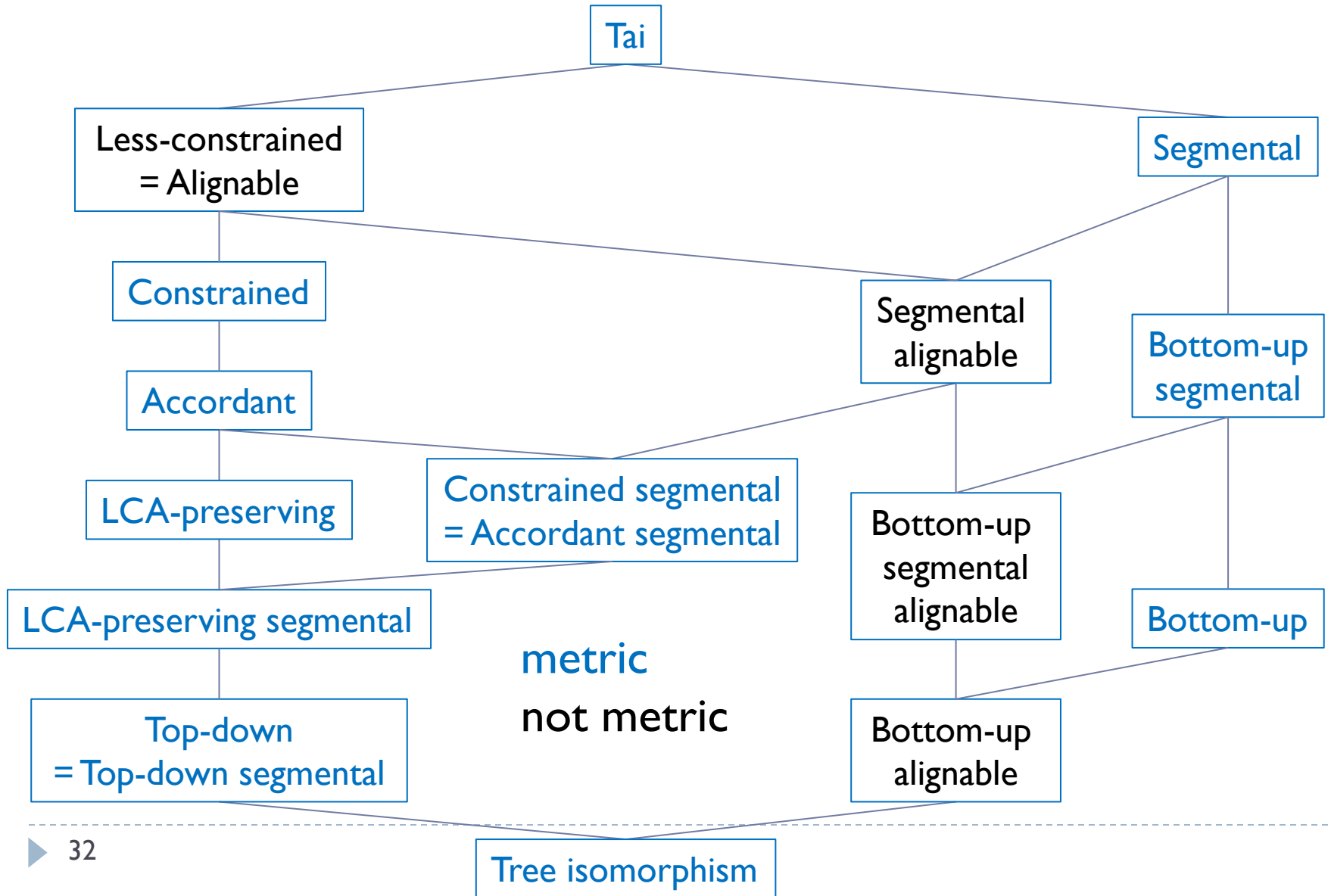
# Hierarchy of Tai mapping [Hirata 13 SIGFPAI88]



# Hierarchy of Tai mapping [Hirata 13 SIGFPAI90]

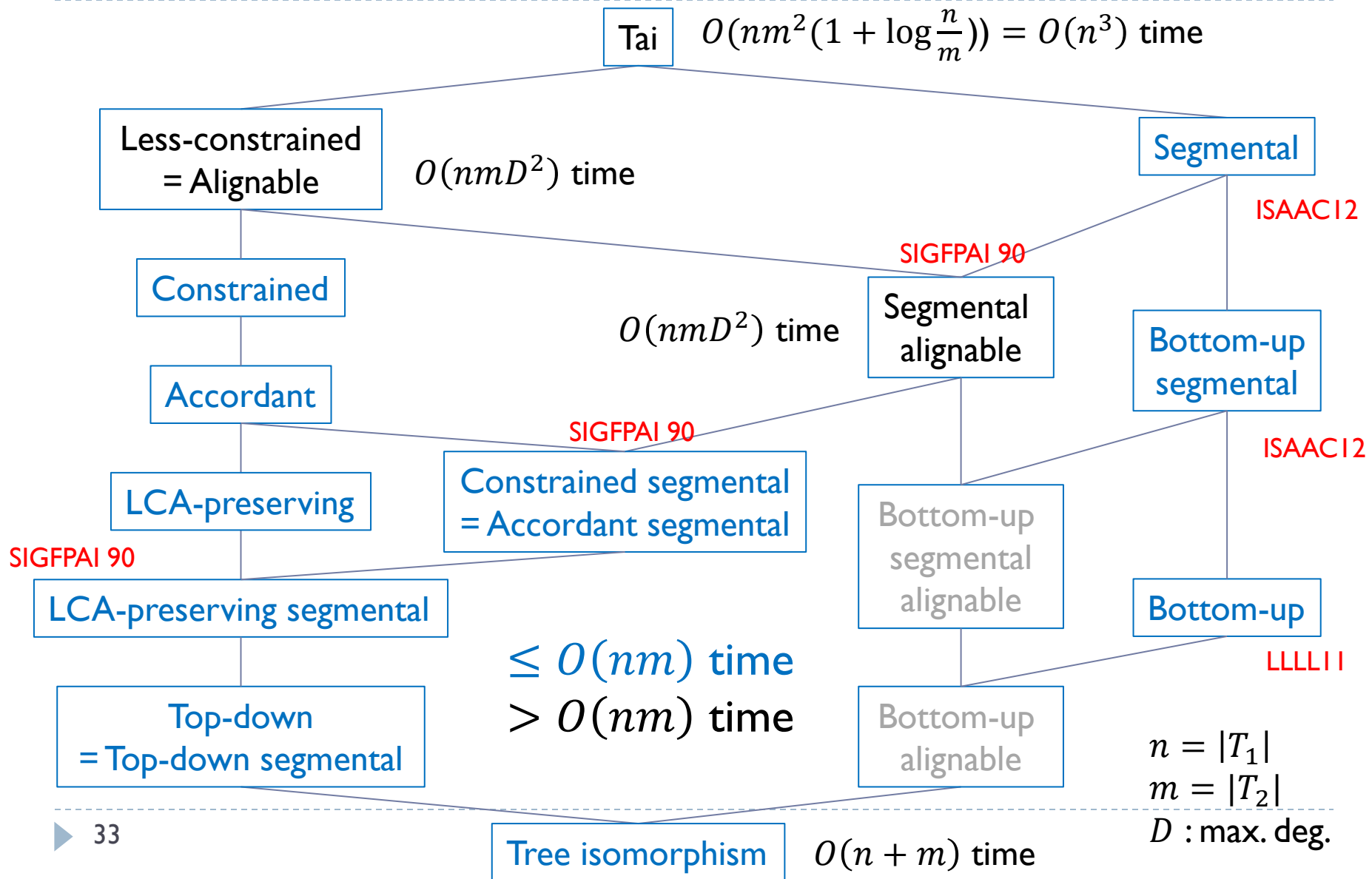


# Hierarchy of Tai mapping : Metricity



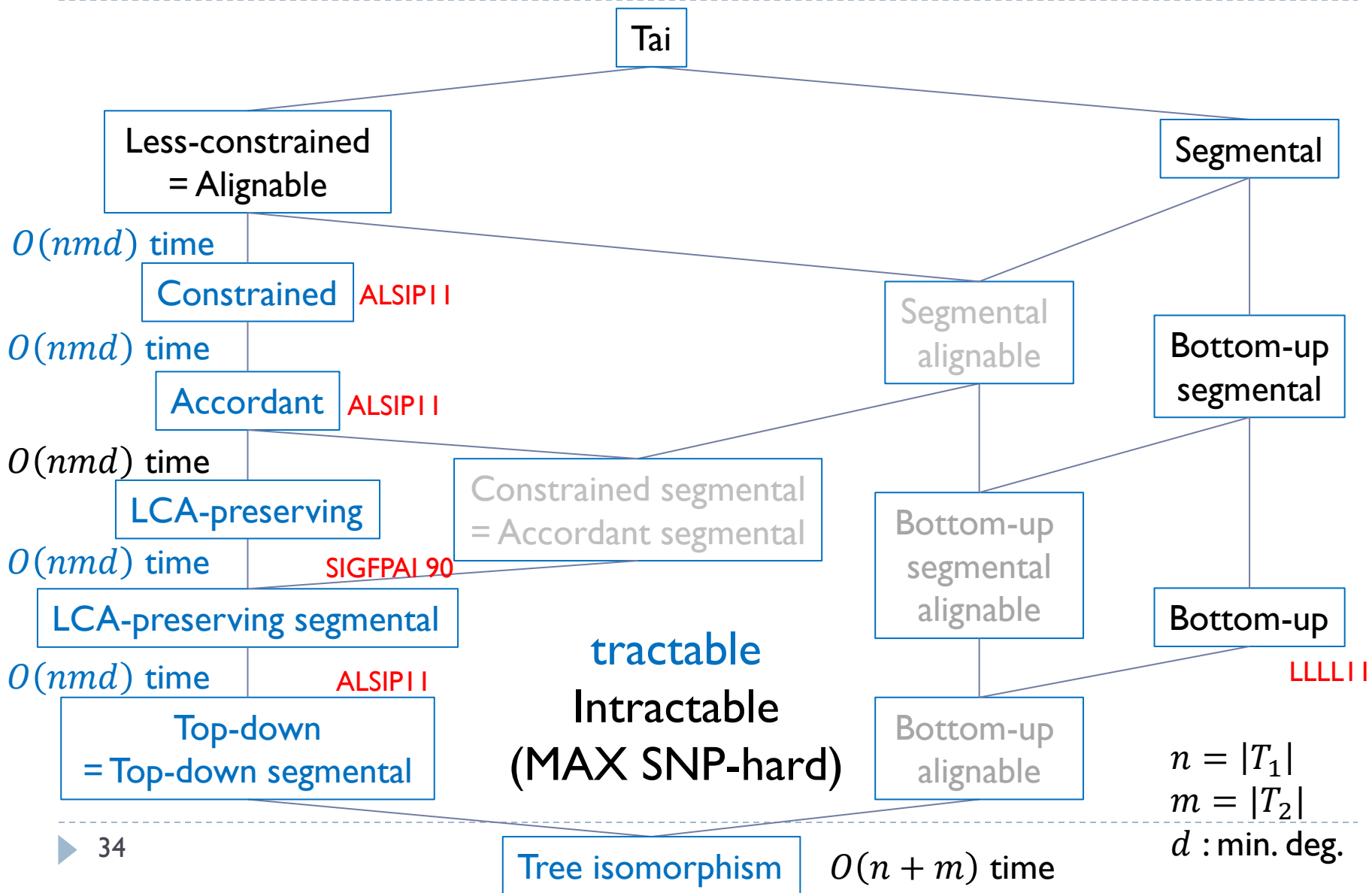


# Hierarchy of Tai mapping : Time complexity for ordered trees



# Hierarchy of Tai mapping :

## Time complexity for unordered trees



# Edit distance, indel distance: ordered trees

Mapping	Edit distance	Indel distance
Tai	$O(nm^2(1 + \log \frac{n}{m}))$	$O(\Delta H^2 \log \log m)$ , $O(L\Delta \log \Delta \log \log m)$
less-constrained (alignable)	$O(nmD^2)$	[Mozes 09 (TCS 410)]
constrained (isolated-subtree)	$O(nm)$	
accordant (Lu)	$O(nm)$	[Mozes 09 (TCS 410)]
LCA-preserving (degree-2)	$O(nm)$	$O(\Delta H \log \log m)$
LCA-preserving segmental	$O(nm)$ [SIGPPAI]	
top-down (degree-1)	$O(nm)$	$O(n + m)$
segmental	$O(nm)$ [ISAAC 12]	
bottom-up segmental	$O(nm)$ [ISAAC 12]	[Valiente 01 (SPIRE)]
bottom-up	$O(nm)$ [LLLL 11]	$O(n + m)$
segmental alignable	$O(nmD^2)$ [SIGPPAI]	
accordant segmental	$O(nm)$ [SIGPPAI]	

# Edit distance, indel distance, inclusion: unordered trees

Mapping	Edit distance	Indel distance	Inclusion
Tai	MAX SNP-hard	MAX SNP-hard	NP-complete
less-constrained (alignable)	MAX SNP-hard	MAX SNP-hard	
constrained (isolated-subtree)	$O(nmd)$		$O(nm^{1.5})$
accordant (Lu) [ALSIP 12]	$O(nmd)$	[Kao 01 (J. Algo. 40)]	(same as LCA)
LCA-preserving (degree-2)	$O(nmd)$	$O(\sqrt{D}\Delta \log \frac{2n}{D})$	$O(nm^{1.5})$
LCA-preserving segmental	$O(nmd)$ [SIGPPAI]		$O(nm^{1.5} / \log m)$ [Valiente 05 (J. Disc. Algo. 3)]
top-down (degree-1)	$O(nmd)$	$O(nm^{1.5} / \log m)$	$O(nm^{1.5} / \log m)$
segmental	MAX SNP-hard	[Shamir & Tsur 99 (J. Algo. 33)]	$O(nm^{1.5} / \log m)$
bottom-up segmental	MAX SNP-hard		[Shamir & Tsur 99 (J. Algo. 33)]
bottom-up [LLLL 11]	MAX SNP-hard	$O(n + m)$	$O(n + m)$
accordant segmental		[Valiente 01 (SPIRE)]	$O(nm^{1.5} / \log m)$
segmental alignable			

# Future works

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- ▶ Improving time complexity computing the following distances
  - ▶ Segmental alignable distance (= segmental alignment)
  - ▶ Alignable distance (= alignment)
    - ▶ Alignment :  $O(nmD^2) = O(n^4)$
    - ▶ Edit distance :  $O(nm^2(1 + \log \frac{n}{m})) = O(n^3)$
- ▶ Analyzing whether computing the following distance is tractable or intractable
  - ▶ Accordant segmental distance
    - ▶ Accordant distance : tractable, segmental distance : intractable
  - ▶ Segmental alignable distance
    - ▶ Segmental distance, alignable distance : intractable